

AUG 22 2003

01-02-03

RCE, 2800

PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE  
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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

|                        |                   |
|------------------------|-------------------|
| Application Number     | 09/251,172        |
| Filing Date            | February 17, 1999 |
| First Named Inventor   | Ammar Derra       |
| Group Art Unit         | 2879              |
| Examiner Name          | Kenneth J. Ramsey |
| Attorney Docket Number | M130-034          |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO SB-29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

**1. Submission required under 37 C.F.R. § 1.114**

- a.  Previously submitted
  - i.  Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered)
  - ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - iii.  Other \_\_\_\_\_
- b.  Enclosed
  - i.  Amendment/Reply
  - ii.  Affidavit(s)/Declaration(s)
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other Petition for one-month Extension of Time  
(check no. 140062 for \$110.00)

09/03/2003 HDEMESS1 00000055 09251172

|            |        |    |
|------------|--------|----|
| 01 FC:1801 | 750.00 | OP |
| 02 FC:1201 | 168.00 | OP |
| 03 FC:1202 | 36.00  | OP |

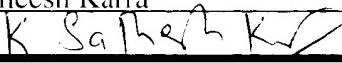
**2. Miscellaneous**

- a.  Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months, Fee under 37 C.F.R. § 1.17(i) required)
- b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a.  The Director is hereby authorized to ~~charge the following fees or~~ credit any overpayments, to Deposit Account No. 23-0925
  - i.  RCE fee required under 37 C.F.R. § 1.17(e)
  - ii.  Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
  - iii.  Other \_\_\_\_\_
- b.  Check in the amount of \$954.00 enclosed (Check no. 140061)
- c.  Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                   |   |                                   |        |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Satheesh Karra  | Registration No. (Attorney/Agent) | 40,246 |
| Signature         |  |                                   |        |
| Date              | August 22, 2003   |                                   |        |

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as ~~first class~~ mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on: Express

|                   |   |  |  |
|-------------------|---|--|--|
| Name (Print/Type) | Sent via EXPRESS MAIL, label no. EV317133857 US |  |  |
| Signature         |   |  |  |
| Date              |   |  |  |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2003

*Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **(\$1,064.00)**

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | 09/251,172        |
| Filing Date          | February 17, 1999 |
| First Named Inventor | Ammar Derraa      |
| Examiner Name        | Kenneth J. Ramsey |
| Art Unit             | 2879              |
| Attorney Docket No.  | MI30-034          |

**METHOD OF PAYMENT** (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number  
23-0925  
Deposit Account Name  
Wells St. John P.S.

The Commissioner is authorized to: (check all that apply)

Charge fees indicated below  Credit any overpayments  
 Charge any additional fees during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity        | Small Entity  | Fee Description        | Fee Paid     |
|---------------------|---------------|------------------------|--------------|
| Fee Code (\$)       | Fee Code (\$) |                        |              |
| 1001 740            | 2001 370      | Utility filing fee     |              |
| 1002 330            | 2002 165      | Design filing fee      |              |
| 1003 510            | 2003 255      | Plant filing fee       |              |
| 1004 740            | 2004 370      | Reissue filing fee     |              |
| 1005 160            | 2005 80       | Provisional filing fee |              |
| <b>SUBTOTAL (1)</b> |               | <b>(\$)</b>            | <b>- 0 -</b> |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------|----------------|----------|
| 42 - 40 = 2  | x 18.00      | = 36.00        |          |
| 10 - 8 = 2   | x 84.00      | = 168.00       |          |
|              |              |                |          |

| Large Entity        | Small Entity  | Fee Description  |
|---------------------|---------------|--|
| Fee Code (\$)       | Fee Code (\$) |  |
| 1202 18             | 2202 9        | Claims in excess of 20                                     |
| 1201 84             | 2201 42       | Independent claims in excess of 3                          |
| 1203 280            | 2203 140      | Multiple dependent claim, if not paid                      |
| 1204 84             | 2204 42       | ** Reissue independent claims over original patent         |
| 1205 18             | 2205 9        | ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> |               | <b>(\$)</b> 204.00   |

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code (\$)                     | Fee Code (\$) | Fee Description  | Fee Paid |
|-----------------------------------|---------------|--|----------|
| 1051 130                          | 2051 65       | Surcharge - late filing fee or oath  |          |
| 1052 50                           | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130                          | 1053 130      | Non-English specification  |          |
| 1812 2,520                        | 1812 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804 930*                         | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*                       | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110                          | 2251 55       | Extension for reply within first month                                     | 110.00   |
| 1252 400                          | 2252 200      | Extension for reply within second month                                    |          |
| 1253 920                          | 2253 460      | Extension for reply within third month                                     |          |
| 1254 1,440                        | 2254 720      | Extension for reply within fourth month                                    |          |
| 1255 1,960                        | 2255 980      | Extension for reply within fifth month                                     |          |
| 1401 320                          | 2401 160      | Notice of Appeal   |          |
| 1402 320                          | 2402 160      | Filing a brief in support of an appeal                                     |          |
| 1403 280                          | 2403 140      | Request for oral hearing   |          |
| 1451 1,510                        | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110                          | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,280                        | 2453 640      | Petition to revive - unintentional   |          |
| 1501 1,280                        | 2501 640      | Utility issue fee (or reissue)   |          |
| 1502 460                          | 2502 230      | Design issue fee   |          |
| 1503 620                          | 2503 310      | Plant issue fee  |          |
| 1460 130                          | 1460 130      | Petitions to the Commissioner  |          |
| 1807 50                           | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180                          | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40                           | 8021 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809 740                          | 2809 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 740                          | 2810 370      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 740                          | 2801 370      | Request for Continued Examination (RCE)                                    | 750.00   |
| 1802 900                          | 1802 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____         |               |  |          |
| *Reduced by Basic Filing Fee Paid |               | <b>SUBTOTAL (3)</b> <b>(\$)</b> 860.00                                     |          |

(Complete if applicable)

|                   |                   |                                      |        |           |                |
|-------------------|-------------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Satheesh Karra    | Registration No.<br>(Attorney/Agent) | 40,246 | Telephone | (509) 624-4276 |
| Signature         | K. Satheesh Karra |                                      |        | Date      | 8/22/03        |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the person which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

*OCT E*  
AUG 22 2003  
*PATENT TRADES*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Serial No. .... 09/251,172  
Filing Date ..... February 17, 1999  
Inventor ..... Ammar Derraai  
Assignee ..... Micron Technology, Inc.  
Group Art Unit ..... 2879  
Examiner ..... Kenneth J. Ramsey  
Attorney's Docket No. .... MI30-034  
Title: Methods of Forming a Base Plate for a Field Emission Display (FED) Device,  
Methods of Forming a Field Emission Display (FED) Device, Base Plates for  
Field Emission Display (FED) Devices, and Field Emission Display (FED)  
Devices

**RESPONSE TO APRIL 22, 2003 OFFICE ACTION**  
**TO ACCOMPANY REQUEST FOR CONTINUED EXAMINATION**

To: Mail Stop RCE  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

From: Satheesh Karra (Tel. 509-624-4276; Fax 509-838-3424)  
Wells St. John P.S.  
601 W. First Avenue, Suite 1300  
Spokane, WA 99201-3828

Sir:

In response to the Official Action dated April 22, 2003, please amend this  
application as follows: